

## **Business & Human Rights Resource Centre Survey Questions**

### **For institutions investing in and/or with business relationships in Ukraine**

#### **Nature of involvement**

1. How long has your institution been investing or financing in Ukraine? In summary, can you briefly describe the nature, sector, scale, and geographic area of these investments?

#### **Assessing risks**

2. How is your institution enhancing its due diligence to identify, prevent, and mitigate heightened human rights risks and comply with international humanitarian law?
  - a. What measures is your institution taking to ensure it relies and acts upon robust monitoring of the situation, including through consultation with affected workers and communities, as well as human rights groups and/or humanitarian organizations?

#### **Mitigating risks and tracking effectiveness**

3. What measures is your institution taking to ensure that your business relationships, investments, or other actions do not contribute to Russian military activities or occupation in Ukraine (including Crimea and occupied parts of Donetsk and Luhansk Oblasts)?
4. Is your institution planning to scale-down or end its operations or investments in Ukraine? If so, what are the immediate and longer-term steps that your institution has taken or is prepared to take to mitigate the negative impacts of this decision on affected stakeholders, including your workers?
5. What steps is your institution taking to ensure that your risk prevention and/or mitigation measures do not negatively impact Ukrainian civilians?

#### **Exercising leverage**

6. Is your institution taking any other actions to promote respect for humanitarian law, human rights, democracy, and peace in Ukraine?

### **For institutions investing in and/or with business relationships in Russia**

#### **Nature of involvement**

1. How long has your institution been investing or financing in Russia? In summary, can you briefly describe the nature, sector, scale, and geographic area of these investments?
2. Do you have financial relationships with the Russian government or state-controlled companies?

#### **Assessing risks**

3. How is your institution enhancing its due diligence to identify, prevent, and mitigate heightened human rights risks and comply with international humanitarian law?
  - a. What measures is your institution taking to ensure it relies and acts upon robust monitoring of the situation, including through consultation with affected workers and communities, as well as human rights groups and/or humanitarian organizations?

### **Mitigating risks and tracking effectiveness**

4. Has your institution fully or partially frozen or divested from your Russian assets?
  - a. What have been the key considerations informing this decision and did you consult with affected stakeholders as part of your decision-making process?
  - b. What are the immediate and longer-term steps that your institution has taken or is prepared to take to mitigate any negative human rights impacts of that decision?
5. If your institution chooses to continue investing in Russia, what human rights due diligence has been undertaken and how are you planning to mitigate harm in Ukraine?
6. What kind of due diligence measures does your institution use to ensure that it does not have any business relationships with sanctioned Russian individuals and entities?
  - a. Beyond sanctions compliance, how does your institution ensure that it does not have any business relationships with individuals or entities with a track record of rights violating conduct?
  - b. What steps are you taking to prevent overcompliance with sanctions and to ensure that civil society is able to access resources?

### **Exercising leverage**

7. How does your institution intend to use its leverage to protect human rights in Russia, including freedoms of association and expression? Is your institution providing any support to Russian activists who are taking action against the war and in support of democracy and peace in Ukraine?